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Form SF298 Citation Data

| | | |
|---|--|---|
| Report Date <i>("DD MON YYYY")</i> 00061995 | Report Type N/A | Dates Covered (from... to) <i>("DD MON YYYY")</i> |
| Title and Subtitle Special Operations Forces and Unmanned Aerial Vehicles: Sooner or Later? | | Contract or Grant Number |
| | | Program Element Number |
| Authors Howard, Stephen P. | | Project Number |
| | | Task Number |
| | | Work Unit Number |
| Performing Organization Name(s) and Address(es) The School of Advanced Airpower Studies Air University Maxwell AFB, AL 36112 | | Performing Organization Number(s) |
| Sponsoring/Monitoring Agency Name(s) and Address(es) | | Monitoring Agency Acronym |
| | | Monitoring Agency Report Number(s) |
| Distribution/Availability Statement Approved for public release, distribution unlimited | | |
| Supplementary Notes | | |
| Abstract | | |
| Subject Terms | | |
| Document Classification unclassified | Classification of SF298 unclassified | |
| Classification of Abstract unclassified | Limitation of Abstract unlimited | |
| Number of Pages 70 | | |

SPECIAL OPERATIONS FORCES AND UNMANNED AERIAL VEHICLES:
SOONER OR LATER?

BY
STEPHEN P. HOWARD

A THESIS PRESENTED TO THE FACULTY OF
THE SCHOOL OF ADVANCED AIRPOWER STUDIES
FOR THE COMPLETION OF GRADUATION REQUIREMENTS

SCHOOL OF ADVANCED AIRPOWER STUDIES
AIR UNIVERSITY
MAXWELL AIR FORCE BASE, ALABAMA
JUNE 1995

Disclaimer

The conclusions and opinions expressed in this document are those of the author. They do not reflect the official position of the US Government, Department of Defense, the United States Air Force, or Air University.

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Major Stephen P. Howard was commissioned through the Officer Training School, Lackland AFB, San Antonio, Texas, in 1982. Graduating from Undergraduate Navigator training in 1982, and Electronic Warfare Officer training in 1983, he went on to fly AC-130H “Gunships” as an electronic warfare officer in the 16th Special Operations Squadron, 1st Special Operations Wing, Hurlburt Field, Florida. In 1987 he went to the Joint Staff, J-3, Joint Operations Division, for an Air Staff Training Assignment. He was subsequently selected to transition into EC-130H “Compass Call” aircraft and served as a Mission Crew Commander with the 6919th Electronic Security Squadron, Sembach Air Base, Germany. While serving there he was selected and served as the interim squadron Commander for the 6915th Electronic Security Squadron, Bad Aibling Station, Germany. During DESERT STORM, he flew missions against Iraq as the Mission Crew Commander on the EC-130H and served as the wing Electronic Combat Coordinator and Combat Mission Planner, with the 7405th Composite Wing (Provisional), Incirlik Air Base, Turkey. After the war, he was assigned to Headquarters United States Air Forces Europe in the Operational Requirements Division. Major Howard is a senior navigator with over 1,500 flying hours. He has a bachelor’s degree in History from the University of Maryland, and a master’s degree in International Relations from Troy State University. In October 1995, Major Howard was assigned to the United States Special Operations Command as a strategic planner.

ACKNOWLEDGMENTS

I would like to acknowledge several people without whose support and help I would have never gotten off the ground with this study. I want to thank Colonel Howard Chambers and Colonel Scott Stephens for opening doors which allowed me to re-enter the Special Operations world. I also want to thank Frank Strickland and Karen Ackley for allowing me access to their “special” world. I also want to thank Ray Colemon, the Public Affairs Officer for the Unmanned Aerial Vehicle Joint Project Office. The research materials he provided were extremely helpful.

I especially want to thank Major Bruce Deblois for the many discussions and long hours he spent helping me focus and formulate this work. His perspective and insight have been invaluable in helping to eliminate inconsistencies in this study. I also want to thank Lieutenant Colonel Robert Owen for his assistance and guidance. I thank both Major Deblois and Lieutenant Colonel Owen for their support, for reviewing the draft, for commenting constructively, and for keeping me on track.

I have a special thanks for Doctor David R. Mets. He has been my guide, advisor, and mentor since 1983. He has threatened, cajoled, despaired, and grown weary, while trying to help me develop as a professional military officer. I still have a long way to go, but I hope some of his efforts have paid dividends to both him and the Air Force.

Most importantly, I want to express my sincere appreciation to my wife, Glenda, and daughter, Jenessa, for their love, patience, and understanding during those times when I was struggling with this paper. Their presence (or lack of it) was very important to me and made all the difference in ensuring my success in completing this work.

ABSTRACT

This study analyzes whether Special Operations Forces should use Unmanned Aerial Vehicles to support intelligence, surveillance, reconnaissance, communications and re-supply capability deficiencies. The author's objective is to review the missions and requirements of the United States Special Operations Command, examine current and future unmanned aerial vehicle technologies, and analyze whether unmanned aircraft technologies are mature enough to meet the demanding Special Operations missions. The result of the analysis is that unmanned aerial vehicles have tremendous potential. But, due to technological limitations and a lack of systems maturity, unmanned aerial vehicles lack the range, reliability, datalink capability, and size to meet Special Operations Forces needs at this time. However, in the future, UAVs should be able to fulfill several SOF capability deficiencies.

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Chapter 1: Introduction

What is called foreknowledge cannot be elicited from spirits, nor from gods, nor by analogy with past events, nor from calculations. It must be obtained from men who know the enemy situation.

—Sun Tzu
The Art of War

The “Cold War” may be over, but there are numerous smaller conflicts raging around the world. Because the United States is not always “invited” to intervene in local or regional squabbles, there are still significant areas of the world where overt United States action is discouraged. Many of these regions are important to US national interests and may require fast, politically acceptable uses of force that provide information and firepower without needlessly endangering lives.

Special Operations Forces (SOF) are capable of dealing with sensitive situations by using overt and covert means. As situations and adversaries become more complex, SOF leaders will need a greater capability for observing their targets. Surveillance, reconnaissance, and communication assets that deliver near-real-time, full motion video for extended periods of time will be required. They will also need communication systems that are secure, have a low-probability-of-intercept, and which extend beyond traditional line-of-sight capabilities. To successfully achieve these increasingly difficult tasks, SOF leaders will need specific and responsive intelligence information that may not otherwise be available through conventional National assets.¹

¹ U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Joint Air-SOF Battlefield Interface,” 1 December 1993, pp. 34-35, U.S. Air Force, Headquarters, Air Force Special Operations

Unmanned Aerial Vehicles are among the many tools at the disposal of Special Operations Forces leaders for dealing with difficult situations. The objective of this paper is to explore and evaluate current and future UAVs and determine whether they solve any of the capability deficiencies affecting Special Operations Forces' ability to meet future tasking. Consequently this study also addresses the question whether SOF leaders should continue relying only on manned aerial assets and national space assets for reconnaissance and surveillance, or if they should shift to developing unmanned aerial assets for some of these purposes. This paper gives military and civilian leaders within the Department of Defense background information to make informed decisions about using unmanned aerial vehicles in the future.

Special Operations Forces traditionally have relied on manned aircraft, special reconnaissance teams, and satellites to provide timely surveillance, reconnaissance and communications information. All of these assets have proven useful as information providers. Still, recent unmanned aerial vehicle successes during Desert Shield and Desert Storm have created new tension between groups advocating unmanned systems versus manned systems for high risk operations.

With the fall of the Soviet Union and the emergence of a multi-polar world, Special Operations Forces are being tasked with more missions and challenges than ever before. Since the Department of Defense Reorganization Act of 1986, Special

Command/XPP, "Air Force Modernization Plan, Mission Area Plan: Force Application," 1 December 1993, pp. 26-28, U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, "Air Force Modernization Plan, Mission Area Plan: Psychological Operations (PSYOP)," 1 December 1993, pp. 24-27, and U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, "Air Force Modernization Plan, Mission Area Plan: Aviation Foreign Internal Defense," 1 December 1993, pp. 27-29.

Operations' missions have expanded from the traditional unconventional warfare and foreign internal defense tasks, into several new areas of responsibility. These new areas include combating terrorism, halting the proliferation of weapons of mass destruction, and information warfare, to name just a few. The United States Special Operations Command is aware of its growing responsibilities and is trying to meet these future challenges by developing new weapons and assets today. Chapter 2 summarizes SOF mission areas and responsibilities. The chapter concludes with a presentation of SOF capability deficiencies.²

Once SOF capability deficiencies are exposed, Chapter 3 will introduce the reader to one possible technology solution: UAVs. This chapter provides basic, unclassified characteristics and capabilities of many of the unmanned aerial vehicles currently developed or in the prototype stage. It also discusses civilian uses and potential programs which offer cost sharing between commercial and government agencies. Foreign technologies are not discussed in this chapter because the details of these programs are classified.

Chapter 4 marries the capability deficiencies highlighted in Chapter 2 to a variety of potential resolutions. Mission Area Plans, Mission Need Statements, and United States Special Operations Command's list of Technology Development Objectives are used to analyze the advantages and disadvantages of numerous assets used to support Special Operations. The purpose of this chapter is to systematically evaluate the tools used by

² Glenn A. Kent, *A Framework for Defense Planning*, August 1989, prepared for the USAF and Office of the Secretary of Defense, RAND Corporation. According to Kent, operational mission requirements from the field are to be fed into military technology acquisition as capability deficiencies only and not as technology requirements. This ensures capability deficiencies are addressed, but does not inhibit the creative and innovative application of new technologies from optimally satisfying those deficiencies.

SOF to determine if there are scenarios that are better served by assets not currently being used or considered.

The final pages of this thesis will offer some conclusions and recommendations, that are straight forward deductions from the analysis. There are certain SOF missions that need manned systems. There are also other places, times, and situations when unmanned assets are a logical alternative. In the situations where UAVs are useful, the paper will suggest ways to integrate the assets into current force structure. This research is intended to provide rudimentary inputs for commanders and planners to help them integrate UAVs into their planning process. Many of the UAVs mentioned in this paper are currently available and can be procured by Special Operations Forces within a few months. Other systems may never be available due to inefficient programs, cost over-runs, and lack of support by either the Department of Defense or the Congress. Several previous unmanned aerial vehicle programs have been started and canceled over the years.³

³ See Department of Defense, Deputy Under Secretary of Defense (Advanced Technology), document entitled, “Unmanned Aerial Vehicles (UAV) Program Plan, Defense Airborne Reconnaissance Office, Washington, D.C., April 1994, Chapter 7, for a brief history of UAV programs that never really “got off the ground.”

What is the bottom line? Should SOF continue relying solely on the aircraft, ground teams, and satellites which have served them in the past, or, should they divert some of their limited resources toward adding a fourth dimension to their repertoire of assets?

Chapter 2: Special Operations Tasks and Capability Deficiencies

The revolutions which gave us birth ignited, in the words of Thomas Paine, a spark never to be extinguished. And across vast, turbulent continents these American ideals still stir man's struggle for national independence and individual freedom.

—John F. Kennedy

Revolutions, struggles for national independence, and groups yearning for individual freedom are causing strife and discord around the globe. Guarding against threats to the interests of the United States requires the appropriate use of military force in concert with political, economic, and informational elements of national power. Therefore, the Armed Forces of the United States are engaged in accomplishing two national military objectives—promoting stability and thwarting aggression.⁴

Capabilities

Special Operations are a form of warfare characterized by a unique set of objectives, weapons, and forces. These forces are best used when large, conventional forces, requiring extensive support structures, are not militarily required or are politically unacceptable to host nation and regional sensibilities.

Special Operations Forces' (SOF) capabilities are a function of individual and small units proficient in a multitude of specialized, often unconventional, combat skills using innovation, improvisation, and self-reliance. Special Operations size, self-sufficient nature, and capabilities provide a military response that entails less political liability or risk of

⁴ *National Military Strategy of the United States of America*, “A Strategy of Flexible and Selective Engagement,” 1995, pp. 4-5.

escalation normally associated with employment of larger, more visible, conventional forces.⁵

Characteristics

Special Operations have certain characteristics that distinguish them from conventional operations.⁶ They are principally offensive in nature and incur high physical risk while limiting political risk for the United States. However, if things go wrong and their mission fails or becomes public knowledge, then these operations can involve very high political risk.⁷

SOF units are regionally focused and primarily directed at high-value, critical, and often perishable targets. Special Operations Forces conduct fast, surgical operations at great distances from established support bases by using sophisticated communications, aircraft, and specially trained forces. These forces infiltrate and exfiltrate areas that are hostile to the United States, or politically sensitive to overt displays of U.S. military forces. Timely, relevant intelligence is crucial to successful Special Operations.

Very short contingencies using shock and surprise, or long-term commitments requiring patience and cultural understanding are typical of Special Operations.

Combining high and low technology weapons and equipment, these forces can provide

⁵ Joint Pub 3-05, Change 1, “Doctrine for Joint Special Operations,” Draft, 28 Jan, 1994, p. I-3.

⁶ USSOCOM Strategic Planning Guidance (U), 1 March 1995, p. 3.

⁷ The covert nature of Special Operations is designed to reduce political risk. However, should a mission fail—like Desert One, the Iranian hostage rescue mission—the political risks and fallout are greater than that of a conventional operation. If covertly done, allowing plausible deniability by the nation instigating the incident or operation, then political risks are low as long as no single nation can be blamed.

security assistance to friendly nations by training and organizing indigenous forces internal or external guerrilla forces. In depth knowledge of the region and its inhabitants means the difference between success and failure.

Special Operations Forces are often tasked by political leaders and monitored at the national level. These operations cross all services and need detailed planning and rapid coordination with other commands, Services, and Governments agencies. Because of the nature of the missions, joint ground, air, and maritime assets must communicate quickly and efficiently. Therefore, a common, responsive command and control network is needed that interconnects the various commands, Services, and Government agencies.

Special Operations Forces are responsible for several activities. These are broken down into seven principal missions or “Core Tasks,” with additional “Collateral Tasks,” and “Emerging Tasks.”

Seven Core Tasks

Section 167 of title 10, of the US Code defines 10 special operation activities. For strategic planning purposes, SOF tasks are identified as core, collateral, or emerging tasks.⁸ Seven of the ten activities are described as “Core Tasks.” These seven “Core Tasks” are Unconventional Warfare, Direct Action, Special Reconnaissance, Foreign Internal Defense, Combating Terrorism, Psychological Operations, and Civil Affairs.

These “core tasks” need real-time intelligence, redundant, long range communications, and the ability to re-supply operators working in the field for extended

⁸ USSOCOM Strategic Planning Guidance (U), 1 March 1995, p. 2.

periods of time. These tasks have always been supported by manned aircraft, tactical and national reconnaissance assets, and the ingenuity of the personnel on the ground.

Unconventional Warfare is a “core task” that includes guerrilla warfare and other low visibility, covert, or clandestine operations. It also includes subversion, sabotage, intelligence activities, and escape and evasion.⁹

Guerrilla warfare usually occurs in enemy-held or hostile territory by military and paramilitary forces. Unable to attack the main enemy force, these irregular troops raid and ambush enemy forces where they are most vulnerable. Guerrillas use subversion and sabotage against their targets. Subversion undermines the political, economic, and military morale of a nation or regime. Sabotage selectively destroys or disrupts the infrastructure of the target government. The primary guerrilla objective is to discredit the legitimacy of the government in power. This is the area which Max G. Manwaring believes is the single most important dimension where a government either succeeds or fails.¹⁰ The organization with the most effective intelligence and communications systems is usually victorious.

⁹For more in-depth definitions and explanations refer to Chapter II, “Forces and Missions,” in the Joint Chiefs of Staff, Joint Pub 3-05, *Doctrine For Joint Special Operations*, Change One, Draft, dated January 28, 1994, pp. II-1 thru II-13.

¹⁰The “Manwaring Paradigm” states that the underlying premise is that “the ultimate outcome of any counterinsurgency effort is not primarily determined by the skillful manipulation of violence in . . . many military battles.” Rather, the outcome will be determined by “(1) legitimacy of the government, (2) organization for unity of effort, (3) type and consistency of support for the targeted government, (4) ability to reduce outside aid to the insurgents, (5) intelligence (or action against subversion), and (6) discipline and capabilities of a government’s armed forces.” Max G. Manwaring, “The Threat in the Contemporary Peace Environment: The Challenge to Change Perspectives,” *Low-Intensity Conflict: Old Threats in a New World*, edited by Edwin G. Corr and Stephen Sloan.

Direct Action operations are short-duration strikes designed to seize, destroy, capture, recover, or inflict damage on specific personnel or assets. Highly trained teams are used for time-sensitive, high priority targets. These operations are usually conducted against perishable or fleeting targets. SOF units must frequently accomplish the mission with little preparation time and limited intelligence. These missions are particularly high risk if the situation changes before the teams involved get updated information. Typical missions include locating and recovering persons held captive and isolated, and often occur in parts of the world that are sensitive or denied to conventional military forces. The “Son Tay Raid,” during the Vietnam War is a good example of a well planned and executed operation that failed because it lacked timely intelligence. When the Special Forces teams arrived, the American prisoners of war had already been moved and the camp was nearly empty.¹¹

Another “core task,” Special Reconnaissance, is human intelligence that places special teams in hostile or politically sensitive areas of the world. Their mission is to provide strategic or operational intelligence that complements or supplements national and theater intelligence assets. Special Recce teams are often the “eyes and ears” of unconventional warfare, direct action, counterterrorism, and foreign internal defense operations. Long range, low probability of intercept and detection radios are needed to improve team communications. The ability to broadcast digital imagery over long distances is also needed to increase the teams’ overall “eyes and ears” capability.

Foreign Internal Defense primarily helps host nation political and military leaders eliminate internal instability and insurgency operations. Like Unconventional Warfare,

¹¹Benjamin F. Schemmer, *The Raid*, Harper & Row: New York, 1976, pp. 249-50.

Foreign Internal Defense is made up of several different skills, tactics, and capabilities. SOF involvement requires cultural awareness and linguistic skills. Additional requirements include strong medical skills, basic construction, and engineering skills, in addition to traditional weapons and demolition skills. However, the United States Special Operations Forces assisting the host nation do not become directly involved. They are advisors and observers, not participants.

Re-supplying these teams in the field is often challenging because the SOF teams can work great distances from base camps and major supply points. Teams traverse difficult terrain or parachute into extremely isolated areas where ground transportation is nonexistent. Once in place, the team members soon exhaust the limited supplies they brought with them. Reliable, accurate aerial re-supply is crucial to allowing the people on the ground to continue their mission.

Another “Core Task” is Combating Terrorism. This task requires highly trained personnel who can preempt or resolve terrorist incidents outside the United States. There may be no task more intelligence intensive than finding, isolating and capturing terrorists. The secretive nature of terrorists cells makes neutralizing their activities very difficult. Elements of Special Operations Forces will rescue hostages, attack terrorist infrastructure, and recover sensitive materiel from terrorist organizations when sufficient, timely intelligence is available to successfully complete the mission.

Psychological Operations (PSYOP) are the sixth “Core Task.” These operations “convey selected information and indicators to foreign audiences to influence their

emotions, motives, objective reasoning . . . and behavior.”¹² This task has received a lot of attention because of numerous successful leaflet drops during military operations in Panama, Iraq, and Bosnia. These Psychological Operations were effective when the leaflets were accurately delivered to the right targets. Currently there are no dedicated delivery systems for getting leaflets on target over friendly or hostile territory. Available aircraft are tasked to drop the leaflets, thus sometimes having limited results because aircrews were improperly trained and equipped to do the mission.¹³

The final “Core Task,” is Civil Affairs. In this capacity military forces may assume functions normally the responsibility of the local government. The objective is to establish, maintain, influence, or exploit relations among military forces, civil authorities, and civilian populations to facilitate military operations.¹⁴ Communications and re-supply are important aspects of accomplishing this task.

Collateral Tasks

Collateral Special Operations Activities apply special operations capabilities in areas beyond the “Core Tasks.” These areas include Security Assistance, Humanitarian Assistance, Peace Operations, Coalition Support, Counterdrug Operations, Personnel Recovery, and Special Activities.¹⁵

¹²Department of Defense, Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, *United States Special Operations Forces Posture Statement, 1993*, p. D-4.

¹³Interview with members of Psychological Operations team temporarily assigned to Incirlik Air Base, Turkey, during Proven Force/Desert Storm, 27 February 1991. The individuals interviewed asked to remain anonymous.

¹⁴USSOCOM Strategic Planning Guidance, 1 March 1995, p. 8.

¹⁵USSOCOM Strategic Planning Guidance, 1 March 1995, p. 2.

Security Assistance is a group of programs authorized by the Foreign Assistance Act of 1961. Under these programs, the U.S. Government sells defense articles and services, including military training, to eligible foreign countries. Personnel providing Security Assistance are prohibited by law from performing combatant duties.¹⁶

Other collateral activities of Special Operations Forces are Humanitarian Assistance, Peacetime Military Operations, and Coalition Support Operations. Humanitarian programs are primarily designed to promote nonmilitary objectives within a foreign civilian community; and are usually conducted to relieve or reduce pain, disease, and hunger that results from natural or man-made disasters. Peacetime military operations are a nonhostile situation where political, economic, psychological, and military measures, short of US combat operations, are employed to achieve national objectives.¹⁷ SOF uses their skills to help host nation agencies train personnel to develop military and paramilitary infrastructure and capabilities.¹⁸ In many cases SOF units need to apply their unique characteristics and provide liaison to coalition partners. Their linguistic abilities, regional orientation, and focus on independent small unit actions make them one of the principal forces of choice to complement and support coalition warfare objectives.¹⁹

Another collateral activity for SOF is Counter Drug operations which are designed to disrupt, interdict, and destroy illicit drug activities. To the extent permitted by law,

¹⁶Department of Defense, Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, "United States Special Operations Forces Posture Statement, 1993," p. D-4.

¹⁷ JCS Pub 3-0, and JCS Pub 3-05, Change 1, "Doctrine For Joint Special Operations," January 28, 1994, p. I-8.

¹⁸ JCS Pub 3-05, Change 1, "Doctrine For Joint Special Operations," January 28, 1994, p. I-8.

Special Operations Forces lend operational and training assistance to U.S. federal, state, and local law enforcement agencies. As a general rule under the Posse Comitatus Act (Article 18 of the U.S. Code 1385) Department of Defense personnel and equipment may not be used in a domestic law enforcement capacity. However, in 1981, Congress enacted an exception that authorized specific Department of Defense assistance in drug interdiction and drug eradication operations (Article 10, U.S. Code 371-380). SOF has traditionally provided special reconnaissance and surveillance technology and techniques to law enforcement agencies.²⁰ Therefore, the ability to have reliable, 24-hour sensor coverage, and communications systems that can transmit and receive large amounts of information over long distances, are very important capabilities for aiding civilian law enforcement officers.

Although the conventional forces have the responsibility to search for and recover downed or stranded personnel, Special Operations Forces may be needed to perform Personnel Recovery operations. Not organized, equipped, or trained to conduct search and rescue operations, SOF can none the less use their sophisticated airpower assets to find and extract personnel needing assistance. Personnel Recovery missions resemble Direct Action operations and often occur in hostile or denied territory.²¹

The last of the “Collateral Tasks,” are Special Activities. These operations are governed by Executive Order 12333, require a Presidential finding, and also call for

¹⁹ Ibid., pp. II-15-II-16.

²⁰ Joint Pub 3-05, Change 1, p. II-15, and “U.S. Special Operations Forces Posture Statement, 1993,” pp. 34 and D-1.

²¹ Joint Pub 3-05, Change 1, p. II-15, and “U.S. Special Operations Forces Posture Statement, 1993,” p. 35.

Congressional oversight. These “activities” may involve any of the primary Special Operations tasks and missions and are conducted abroad in support of national foreign policy objectives. Special Activities are extremely low profile so U.S. Government participation is neither apparent nor acknowledged.²² Sophisticated, high technology equipment of all types are important for accomplishing these high risk, potentially sensitive activities.

Emerging Tasks

Special Operations Forces are also preparing for future tasks. Some of the emerging missions that may affect Special Operations are Weapons of Mass Destruction (WMD) Counterproliferation, and Information Warfare. These areas have the potential of becoming either core or collateral tasks.²³ Because of the increasing threat from WMD, Special Operations Forces may need to become involved in counterproliferation operations.

If countries and organizations hostile to the United States continue to acquire weapons of mass destruction, Special Operations Forces may have to carry out intrusive verification measures to support compliance with international arms control agreements. The ability to unobtrusively sample the air and environment for toxins or radiation is needed by both military and civilian agencies and organizations. The ability to provide deep reconnaissance, surveillance, and precise Direct Action attacks will continue to be a cost effective means of reducing proliferation of weapons dangerous to U.S. national

²²Joint Pub 3-05, Change 1, p. II-15.

²³USSOCOM Strategic Planning Guidance, 1 March 1995, p. 2.

interests.²⁴ Timely intelligence, advance sensors, and a reliable means to communicate the information are needed to thwart continued proliferation of weapons of mass destruction.

Another emerging task for Special Operations Forces is in the area of Information Warfare. This is a new realm of warfare²⁵ that is still ill-defined. One definition for information warfare is “. . . any action to deny, exploit, corrupt or destroy the enemy’s information and its functions; protecting ourselves against those actions; and exploiting our own information operations.”²⁶ Finding means to exploit information and employing that information against the enemy will be needed. Direct Action teams and Psychological Operators may be well suited for supporting this area.

Information Warfare, like Counterproliferation, are methods, technologies, and techniques that are rapidly changing. The challenge to Special Operations Forces is to find a way to be proactive instead of reactive. The nation that gets in front of these two areas may be the nation that stays in front for the next hundred years.

Capability Deficiencies

In order to meet the myriad of tasks facing Special Operations Forces, and to ensure that Special Operations Forces have the appropriate equipment and resources, Congress authorized USSOCOM its own program, budget, and head-of agency authority for research, development, and acquisition of Special Operations unique material and

²⁴“U.S. Special Operations Forces Posture Statement, 1993,” pp. 33-34.

²⁵The 1995 draft version of AFM 1-1 includes Information as a realm equivalent to land, sea, and air.

²⁶ Definition taken from a briefing given by Major Andy Weaver, USAF/XOXD, Doctrine Division, 27 January, 1995, the Pentagon, Washington, D.C.

equipment. In keeping with these directives, USSOCOM has established a system for determining resource requirements.²⁷

USSOCOM is currently using a Modernization Process that begins with a strategy review to determine where the capabilities and attributes of Special Operations military power are incorporated into various joint strategy documents. The process follows an approach of Strategy-To-Task, Task-To-Need, Need-To-Concept, Concept-To-Technology Need, Technology Need-To-Technology, and Technology-To-Execution (or acquisition).²⁸ Based upon the myriad of requirements dictated by the core, collateral, and emerging tasks, the 1994 USSOCOM Mission Needs Analysis process produced the following capability deficiencies:

²⁷Title 10, United States Code, Section 167.

²⁸“The Air Force Special Operations Forces (SOF) Development Plan,” 1 September 1994, prepared by SOF Technical Planning Integrated Product Team (TPIPT), pp. 1 and 1-2.

Table 2-1

USSOCOM Mission Area Plan Capability Deficiencies

| Factors | Deficiencies |
|--|--|
| Command, Control & Communications | <p>Limited SATCOM capability</p> <p>SATCOM jammable/spoofable</p> <p>Limited accessibility, coverage, bandwidth, size, and weight</p> <p>Potential for enemy to monitor or destroy our information systems</p> |
| Intelligence | <p>No real/near real time imagery from National systems</p> <p>No real time interface between aircraft, planners, and Intel systems</p> <p>No real time imagery for target study</p> <p>No automatic en route threat replan</p> <p>Lack data file for possible contingencies</p> <p>No all source threat location data</p> <p>Enhanced target identification and marking capability required</p> |
| Resupply | <p>Need resupply of expendables (batteries, food, water, medical supplies and ammunition)</p> <p>Need to deliver leaflets over high risk areas</p> |

Sources: HQ AFSOC/XPP Mission Area Plans, “Force Application,” “Joint Air-SOF Battlefield Interface,” and “Psychological Operations (PSYOP),” 1 December 1993, and the USSOCOM Mission Need Statement for the Psychological Operations Unmanned Aerial Vehicle Payloads (UAV-P).

In addition to the Capability Deficiencies, the USSOCOM Requirements Review Board established a priority listing of eleven Technology Development Objectives as a means of consolidating material solutions to the given capability deficiencies.²⁹ The list

²⁹Memorandum, from the Commander, United States Special Operations Command, dated 3 November 1994, “SUBJECT: USSOCOM’s Technology Development Objectives (TDOs), 2 pages total.

which follows is their list of technology goals for all exploratory and advanced technology research and development efforts:

USSOCOM Technology Development Objectives in Order of Priority³⁰

1. Weapons of Mass Destruction (WMD) detection, classification, neutralization, and protection systems.
2. Lightweight, low-volume survival, sustainment, and personal equipment.
3. Lightweight, low-volume power supply, storage, and generation technologies.
4. High-speed, low-detectable, all-weather SOF mobility platforms.
5. Improved communications (C4) systems.
6. Passive shallow water/terrestrial mine, explosive, and booby-trap detection and neutralization equipment and systems.
7. Target locating, tracking, and marking technologies.
8. Future force application weapons and munitions and enhanced explosives and munitions.
9. Advanced vision devices, sensors, fire controls for SOF weapons, and human sensor enhancement equipment.
10. Information Warfare (IW) and Command and Control Warfare (C2W) systems.
11. Advanced learning, training, and mission planning/rehearsal systems.

These technology objectives are not a violation of Kent's notion that operators should or need only supply capability deficiencies. The technology objectives are so general that they still allow innovative technological alternatives from the science and technology community.

Before addressing these capability deficiencies and technology development objectives with a multitude of potential solutions, the next chapter offers a detailed description of a single material option: UAVs. This is not presented *a priori* as the capability deficiencies resolution of choice, but only as informing of one possible alternative.

³⁰ Ibid.

Chapter 3: Current and Emerging UAV Technologies

He that will not apply new remedies must expect new evils; for time is the greatest innovator.

—Francis Bacon

The purpose of this chapter is to describe current and projected unmanned aerial vehicle technologies, and provide unclassified characteristics and capabilities of a few unmanned aerial vehicles that either currently exist, or will be available within five to ten years. But first, in order to have a common vernacular and to avoid misunderstanding, it is important to define unmanned aerial vehicles, drones, and remotely piloted vehicles.

Unmanned aerial vehicles are defined as powered aerial vehicles sustained in flight by aerodynamic lift over most of their flight path and guided without an on-board crew. They are expendable or recoverable, and can fly autonomously or be piloted remotely. There are two main sub-categories of unmanned aerial vehicles, drones and remotely piloted vehicles.³¹ Drones are autonomous and automatic pilotless aircraft carrying a mechanism to sustain stable flight which will fly an uncorrected steady heading, and usually programmed to be a target. Their course is pre-programmed and cannot be altered during flight.³² Remotely piloted vehicles are unmanned aircraft capable of being controlled from a distant location through a communication link. They are normally

³¹ This definition is a combination of the one used by Air Chief Marshal Sir Michael Armitage, KCB, CBE, RAF, *Unmanned Aircraft*, Brassey's Defence Publishers, London, 1988, p. xi, and the definition found in the Department of Defense, Deputy Under Secretary of Defense (Advanced Technology) document entitled, "Unmanned Aerial Vehicles (UAV) Program Plan, Defense Airborne Reconnaissance Office, Washington, D.C., April 1994, p. A-2.

designed to be recoverable and nonautonomous. They are capable of transmitting mission related data to a remote controller and reacts to operator commands as well as to other control inputs.³³

Because of the special requirements and needs of Special Operations Forces, this paper examines unmanned aerial vehicles that are remotely piloted, re-programmable, and capable of receiving inflight course changes and corrections from a controller site.

As discussed in the previous chapter, Special Operations Forces have a critical need for vast amounts of real-time or near-real-time intelligence. Sensors that provide video images, 24-hours a day, regardless of weather conditions, are needed to provide information to special teams operating on land, sea, and in the air. Currently these forces rely on manned aircraft and National sensor assets, like RC-135 and U-2 aircraft, and various satellites, for their information. However, these information providers are too few, have no real-time capability, and are very difficult to task for small operations that may not have sufficient priority. Operational security also becomes more difficult when RC-135, JSTARs, or AWACs aircraft loiter along a hostile border.

In addition to real-time images, the SOF community needs portable, lightweight communications equipment that transmits and receives beyond line-of-sight. This equipment also needs to be sophisticated enough to be difficult to intercept or detect because many SOF teams perform their mission by remaining concealed. Current radio and communications equipment is susceptible to jamming and interception. This gives

³²Air Chief Marshal Sir Michael Armitage, KCB, CBE, RAF, *Unmanned Aircraft*, Brassey's Defence Publishers, London, 1988, p. xi-xii.

³³ Armitage, *Unmanned Aircraft*, pp. xi-xii, and DoD "Unmanned Aerial Vehicles (UAV) Program Plan, Defense Airborne Reconnaissance Office (DARO), April 1994, p. A-1.

away the team's location, prevents their information from reaching command authorities, and endangers their lives. Directional, high frequency, low power transmitters and receivers that can be relayed around the world are more difficult to jam or intercept. Current satellite communications systems that are secure and difficult to intercept tend to be bulky. Miniaturizing the communications system, while increasing range and effectiveness, is the desired end result.

SOF also needs to re-supply these teams. Already overburdened with equipment, weapons, and ammunition, the average team member carries a 72 hour supply of food, water, and expendables. Air Force Special Tactics Team members are typically loaded with transceivers, navigational aids, medical supplies, weapons, ammunition and food weighing between 70-80 pounds.³⁴ Safe, reliable re-supply vehicles are needed to support the teams. Unmanned aerial vehicles are one of many areas being examined to meet the intelligence, communications, and re-supply needs of Special Operations Forces.

Current and Emerging Unmanned Aerial Vehicles

Unmanned aerial vehicles are classified according to two primary categories—Tactical and Endurance. Tactical unmanned aerial vehicles typically have a flight time of 10 hours or less and an operating radius no greater than 150 miles. Endurance unmanned aerial vehicles exceed these capabilities. Therefore, this study uses range, radius, and endurance as the dividing line between systems.

This paper does not examine all the UAVs currently available or in prototype. This chapter should provide a rough overview of technologies that are becoming available.

The specific UAV is not what is important. What is important is whether UAV technologies can be readily modified to meet SOF capability deficiencies in intelligence, communications, and re-supply. When evaluating UAVs, keep in mind the SOF need for reliable, long range systems that require little logistical support, or, air vehicles with sufficient range and endurance that they can be launched hundreds of miles from the team's location, provide support, and not alert the enemy to the presence of a ground, sea, or air team.

Tactical Unmanned Aerial Vehicles

Close Range Unmanned Aerial Vehicles are designed to support land forces in the "Close Battle." These aircraft support commanders in Urban Operations, Reconnaissance, Surveillance, Target Acquisition (RSTA) Operations, and Battle Damage Assessment (BDA). The area of operation for these aircraft is usually within 30 kilometers of the Forward Line of Own Troops (FLOT). Initially these UAVs have been designed to support conventional forces in the field with no consideration for SOF unique needs and requirements. Some of the UAVs currently in this category are the "Pointer" Hand Launched Vehicle and the BQM-147A "Exdrone."

³⁴U.S. Air Force Modernization Plan, Mission Area Plan, "Joint Air-SOF Battlefield Interface," HQ AFSOC/XPP, 1 December 1993, p. 52.

Pointer Hand Launched UAV35

The *Pointer* Hand Launched system is a low-cost³⁶ reconnaissance unmanned aerial vehicle designed to support maneuver battalion commanders or other users needing a short range “eye in the sky.” This aircraft is powered by a 300-watt electric motor with a folding pusher propeller. The flight control system consists of an uplink which only allows a range of about 5-7 kilometers from the ground control unit. It is made of composite materials and is easily assembled from six parts which are interchangeable with other air vehicles. It has a 9 feet wingspan and a 6 feet fuselage length. Its total takeoff weight, with payload, is 8.5 pounds. It currently carries a payload of either a color TV camera, or a black and white low-light-level TV camera, which provide real-time, high resolution video imagery. This hand launched system performs numerous close-in reconnaissance and surveillance missions without endangering ground personnel. It’s small size and battery driven engine make it very difficult to see or hear. Missions are relatively short, normally lasting one hour or less. The aircraft is under positive control by the three-person ground crew and possesses no autonomous capability.

The positive aspects of *Pointer* include its low cost, rapid response time, minimal crew, and limited logistics burden to the Field Commander. The system has the flexibility to provide real-time video to the front echelon Commander during hours of daylight.

³⁵All data pertaining to the “Pointer” Hand Launched UAV was provided by the Department of Defense, Program Executive Office, Cruise Missile Project and Unmanned Aerial Vehicle Joint Project, and the Defense Airborne Reconnaissance Office (DARO). All information is unclassified. Conclusions and inferences made are my own and do not reflect the opinion or policy of either of these organizations.

³⁶The cost for a “Pointer,” not including the ground support equipment is approximately \$20,000 per UAV.

However, the negative aspects of the system are also significant. The “users” have determined that it needs an improved navigational and night imagery capability. Currently the system provides video only during daylight and twilight hours.

A *Pointer* package includes a 3-man operations team, 3 UAVs, and a man-portable ground control station. In order to keep a *Pointer* UAV airborne for the duration of a typical mission, the three-man ground team is in a state of constant launch, control, and recovery. If they come under hostile fire while servicing the UAVs, launch and recoveries may be delayed or terminated until it is relatively safe to resume operations. If the system is located safely in the rear, there is insufficient flight time to get to the enemy location, survey the area, and return before the batteries run out. The *Pointer* is so small that increased payload size may never be possible. Advances in miniaturization are needed before additional features and functions are added to increase the capability of the vehicle. Without a night imagery capability this aircraft will have limited “real world” uses.

BQM-147A Exdrone UAV³⁷

The *Exdrone* system is a low-cost³⁸ reconnaissance unmanned aerial vehicle designed to support regiment and brigade size commands. It is a delta platform flying wing air vehicle that is 5 feet long and has a wingspan of 8 feet, powered by a small one-cylinder, two-cycle, air-cooled engine with a two-blade propeller. The flight control

³⁷All data pertaining to the “Exdrone” was provided by the Department of Defense, Program Executive Office, Cruise Missile Project and Unmanned Aerial Vehicle Joint Project, and the Defense Airborne Reconnaissance Office (DARO). All information is unclassified. Conclusions and inferences made are my own and do not reflect the opinion or policy of either of these organizations.

³⁸The cost for an “Exdrone,” not including the ground support equipment is approximately \$25,000 per UAV.

system consists of a UHF uplink receiver connected to a Global Positioning System (GPS) based autopilot. The autopilot is a 16-bit microprocessor controlled system which provides up to 5-pre-programmable waypoints. The air vehicle is gyro stabilized and capable of programmed autonomous flight. It uses microwave energy to downlink information to the ground control stations.

When tasked, the *Exdrone* launches from a secure area behind the Forward Line of Own Troops (FLOT). It has a launch weight of 89 pounds and a 25 pound payload capacity. It is launched by a pneumatic rail. Once airborne, the launch pilot flies the air vehicle to the cruise altitude. The vehicle service ceiling is 10,000 feet, however, the mission altitude is usually between 3,000–4,000 feet above ground level. It has three modes of operation: Manual flight, manual override autopilot, or full autonomous.

The *Exdrone* began as a research and development effort to build a low-cost expendable drone capable of carrying a VHF communications jammer. The aircraft have since been modified with several different payloads to provide reconnaissance. One of the payloads is the Pulnix TM-7i down-looking color TV camera. It is a commercial-off-the-shelf color camera that provides 570 lines of resolution and a six power zoom lens. This particular camera has a national imagery interpretability rating scale (NIRS)³⁹ of 4 at 3,000-4,000 feet above ground level.⁴⁰ Other payloads available include an Image Intensifier, and Forward Looking Infrared (FLIR) cameras.

³⁹ The NIRS is a scale used by imagery analysts to determine imagery definition. The more detail per square foot at high altitude, the higher the NIRS rating.

⁴⁰ Test was performed at Dugway Proving Ground in March 1994. Source is DoD “UAV 1994 Master Plan,” p. 3-23.

Experimentation and testing continue for additional payloads. These payloads include a communication jammer, communications relay, deception decoys, mine detection capabilities, and an airborne nuclear, biological and chemical detection suite. Most of these payloads are commercial-off-the-shelf or government-off-the-shelf technologies.

An *Exdrone* unit consists of ten air vehicles, two ground control stations, a pneumatic launcher, associated ground support equipment, and crew of six people. The system is small enough to be transported over land in two High Mobility Multipurpose Wheeled Vehicles (HMMWV), or flown into the theater of operations by one C-130 cargo aircraft. The 101st Airborne and 1st Cavalry Divisions currently operate the system.

Once the vehicle is launched and reaches cruise altitude, the launch pilot activates the autopilot which takes control and proceeds to the mission target area. The aircraft has a top speed of 100 miles per hour and a mission endurance of about two-and-a-half hours. The vehicle is controlled by the launch team if the operating area is within line-of-sight of the ground control station (usually about 50 kilometers). To extend operational range, a forward control team equipped with a Ground Control System can be positioned closer to the objective and extend the range. The *Exdrone* can loiter for about two hours. After reaching the target area the autopilot is programmed to loiter, fly a fixed track of way points, conduct point reconnaissance with the forward control pilot directing the flight, or conduct point reconnaissance with the launch pilot in control.

When the mission is complete the autopilot guides the aircraft to a predetermined recovery point where it is recovered by parachute.⁴¹ If more coverage time is needed, another vehicle is launched and sent to the objective before returning the first aircraft. The Ground Control System can control two aircraft simultaneously.

The *Exdrone* has several limitations. First it has a short range because it is restricted by line-of-sight controls. Measures are needed to increase its range. Second, the UHF uplink control frequency band is often used for tactical communications. If proper frequency coordination is not made, the *Exdrone* can be jammed by friendly forces. If “friendly” forces can jam it unintentionally, it seems obvious that “unfriendly” forces could intentionally jam it. Finally, the aircraft has a very small payload, putting severe limitations on the amount of equipment and sensors that can be mounted on a single aircraft.

Pioneer

The *Pioneer* unmanned aerial vehicle was first developed for the U.S. Navy in 1986. The system provides the operational forces with deployable tactical assets that furnishes day and night near-real-time reconnaissance, surveillance, and target acquisition, as well as battle damage assessments, artillery fire correction/adjustment of fire, and battlefield management.⁴²

⁴¹Prior to using a recovery parachute, units were taught to land the air vehicle with “stick and rudder.” This procedure caused an unacceptable attrition rate. Since using a commercial parachute attrition has been cut significantly.

⁴²All data pertaining to the “Pioneer” was provided by the Department of Defense, Program Executive Office, Cruise Missile Project and Unmanned Aerial Vehicle Joint Project, and the Defense Airborne Reconnaissance Office (DARO). All information is

The *Pioneer* air vehicle is a short-range, remotely piloted, pusher-propeller driven, small fixed-wing aircraft that is powered by a gasoline 26.8 horsepower, 2 stroke, reciprocating engine. It can either be controlled remotely from a ground station or programmed to fly independently. Its primary function involves relaying video and/or telemetry information from its reconnaissance systems. However, the aircraft must be within line of sight of its ground control system at all times for positive flight control and imagery data link. It can be handed off from control station to control station, thereby increasing its range.

The aircraft is relatively small. Its wingspan is 17 feet and fuselage length is 14 feet. It weighs 450 pounds and can carry a 65-100 pound payload. *Pointer* will loiter on station collecting and passing data until it has finished the job or runs low on fuel. The unmanned aerial vehicle is then flown back to the recovery area where it is flown into a net or landed on a runway that has arrestment equipment capable of stopping the aircraft.

A *Pioneer* system consists of five air vehicles, one ground control station, a portable control station, four infrared payloads, one to four remote receiving stations, a pneumatic or rocket-assisted launcher, and a net or runway with an arrestment recovery system. The system can control two aircraft simultaneously.

A typical mission for the Pioneer lasts five hours or less. The aircraft is pneumatically launched and cruises at a speed of 185 kilometers per hour to its assigned area of responsibility. As previously mentioned, it may be passed from one control station to the next until it reaches its target area. It has a maximum altitude of 15,000 feet, but

unclassified. Conclusions and inferences made are my own and do not reflect the opinion or policy of either of these organizations.

usually operates lower than that to optimize its imagery capabilities. Its maximum range, with staggered control stations, is 240 kilometers.

The *Pioneer* was extremely successful during Desert Shield/Desert Storm. The U.S. Army, U.S. Navy, and U.S. Marine Corps used it in combat. Six operational units flew over 300 missions. Only one aircraft was shot down while three others were hit by ground fire during combat missions. Even these were safely recovered.⁴³

Pioneer was highly praised as “the single most valuable intelligence collector” in the war against Iraq.⁴⁴ The U.S. Marine Corps successfully used it to direct air strikes and provide near real-time reconnaissance for special operations. Due to this success, investment in UAV technology has already produced the *Pioneer* follow-on: the *Hunter*.

Hunter

The *Hunter* is an unmanned aerial vehicle intended to provide real-time reconnaissance, target acquisition, and other military missions by flying over enemy territory and transmitting video imagery back to ground stations to inform military commanders of the enemy situation. It flies missions up to eight hours in duration, out to 150 kilometers beyond the Forward Line of Own Troops (FLOT), day or night, and in adverse weather.⁴⁵

Each *Hunter* system includes eight aircraft, a launch and recovery station, a mission planning station, two ground control stations, remote video terminals, ground data

⁴³DoD “Unmanned Aerial Vehicles 1994 Master Plan,” 31 May 1994, p. 3-9.

⁴⁴Lt. Gen. Boomer, Marine Corps Central Command Element Headquarters (MARCENT).

⁴⁵UAV 1994 Master Plan, p. 3-2.

terminals, assorted payloads, and sufficient vehicles and trailers to carry and power everything. The Department of Defense is currently planning to purchase 24 systems for the Army, 18 for the Navy, 5 for the Marine Corps, and 3 for training.⁴⁶ Airlift or naval shipping support would be required to transport these systems to the battle.

The *Hunter* concept of operations is very ambitious. According to the current plan, two air vehicles are launched from a runway that is at least 200 meters long and 75 meters wide. One vehicle is the mission aircraft while the other is a relay. The relay UAV is positioned in an orbit behind the FLOT. The mission aircraft flies to the target area and sends intelligence data to the relay vehicle. The relay aircraft then sends the intelligence data to the ground control station. The maximum altitude for both aircraft is 15,000 feet; and total loiter time cannot exceed eight hours.

This UAV is designed to accommodate numerous payloads. These payloads include a moving target indicator, an Electronic Intelligence (ELINT) capability, electronic countermeasures packages, the ability to act as decoys, Communications Intelligence (COMINT) systems, and communications jamming capabilities. Some payloads can also be modified to carry a laser designator/range finder, mine detection equipment, and Nuclear, Biological and Chemical sensors.

The *Hunter* program is in trouble and won't be fielded in the numbers previously mentioned unless it can demonstrate logistic supportability, improved performance, and that it represents a valid joint-service effort as mandated by Congress. According to the General Accounting Office it is logistically insupportable, and tests have identified serious

⁴⁶U.S. General Accounting Office, "Unmanned Aerial Vehicles: No More Hunter Systems Should Be Bought Until Problems Are Fixed," March 1995, p. 2.

performance problems that adversely impact the system's effectiveness. Its performance has not met minimum standards and may not be suitable for use by operational forces.⁴⁷ Until these shortcomings are rectified (the program management office responsible for the system has worked diligently to correct the problems), the project may be slowed or halted.

GNAT 750

The General Atomic GNAT-750 may be one of the most thoroughly field tested unmanned aerial vehicles in today's inventory. According to *Aviation Week and Space Technology* magazine, several GNAT-750 UAVs have been deployed to Bosnia, Croatia, and Albania to monitor air bases, entrenchments, supply caches and troop movements.⁴⁸ According to the article, success in the area was tempered by the need to relay data from the UAV through a manned aircraft—the RG-8 Schweitzer—that could only stay on station for about two hours at a time. Although the RG-8 has an 8 hour flight time, 6 of each 8 hours was spent getting to and from the relay orbit sight. While the GNAT-750 has a 24-30 hour endurance, the manned relay aircraft greatly limits the overall effectiveness of the system.

The GNAT-750 is a long-endurance tactical surveillance and support system. It can fly up to 48 hours without landing for fuel. It has a service ceiling of 25,000 feet and can climb at a rate of 1,100 feet per minute. It has a wing span of a little over 35 feet, the

⁴⁷Ibid., pp. 4-12.

⁴⁸David A. Fulghum, "CIA To Fly Missions From Inside Croatia," *Aviation Week & Space Technology*, July 11, 1994, p. 20. Also noted by Bill Sweetman, in his article "Drones Invented and Forgotten," in the September 1994 issue of *Popular Science*, p. 34.

fuselage is 16 feet long, and its gross take-off weight, including a 330 pound payload and gas, is 1,140 pounds.⁴⁹

According to an article in the July 11, 1994 issue of *Aviation Week & Space Technology*, the Central Intelligence Agency would like to buy more GNAT-750s and modify half of them to act as relay aircraft. This move would allow the 24-30 hour endurance capability to pay off. The CIA would also like to modify the newer GNAT-750s with the Rotax 912 engines which have more power, are quieter, and are more fuel efficient.⁵⁰

In addition to the electro-optics currently on the GNAT-750, David Fulghum writes in *Aviation Week & Space Technology*, that he believes the CIA wants to add a signals intelligence payload to the UAV. The new sensors will pick up both communications and electronic intelligence information.⁵¹ This would give the NATO and United Nations forces additional information from Bosnian radars and communications systems.

One concern for the GNAT-750, as well as other unmanned aerial vehicles, is its vulnerability to inclement weather.⁵² For any UAV deployed to the field, measures need to be taken to protect the delicate internal electronics from dust and moisture, particularly in climates that are damp and contain sea spray. Protecting the personnel, avionics, and maintenance areas are important factors that should be considered when planning

⁴⁹Aircraft data provided by General Atomics Aeronautical Systems, 10130 Sorrento Valley Road, San Diego, California, 92121.

⁵⁰*Ibid.*, p. 21.

⁵¹*Ibid.*, p. 21.

⁵²Sweetman, "Drones Invented and Forgotten," *Popular Science*, September 1994, p. 34.

deployed operations. Portable maintenance hangars are particularly important for maintaining clean and dry work spaces for the UAV technicians.

Of the unmanned aerial vehicle programs fielded to date, the Central Intelligence Agency appears to have provided more capability for less time and money. While the Department of Defense continues to run tests, the Central Intelligence Agency has fielded a working system that provides near-real-time information to the field Commander at what appears to be a very low cost. The GNAT-750 has numerous shortcomings, but it at least has been put to work in the operational environment where it can provide real-world data while its technicians continue to work out the bugs.

Endurance Unmanned Aerial Vehicles

The Endurance models of unmanned aerial vehicles are the next generation of UAVs and have tremendous potential for future operations. Their purpose is to provide near real-time imagery to the Joint Task Force (JTF) Commander. If these aircraft can be properly designed and fielded at a reasonable cost, they will give the JTF Commander an expendable, long-dwell, tactical UAV system with continuous, all-weather narrow area search capability. This class of UAV will remain on station at extended ranges for periods exceeding 24 hours. With this asset, the on-scene Commander can receive direct reconnaissance, surveillance, and target acquisition information over defended hostile areas without waiting for “national assets.”⁵³

This “family” of UAVs also has several prerequisites before they will be accepted and fielded. The endurance unmanned aerial vehicles must be affordable, use commercial-

⁵³“DARO UAV Program Plan, April 1994,” pp. 6-1–6-3.

off-the-shelf devices, have a quick reaction capability, and be capable of carrying payloads large enough to support a synthetic aperture radar and other imaging devices.

Medium Altitude Endurance Unmanned Aerial Vehicle Tier II Predator

The Medium Altitude Endurance (MAE) UAV Tier II program, also known as the *Predator*, is designed to remain over distant battlefields, monitor enemy actions, target threats, and conduct bomb damage assessment.⁵⁴

The *Predator* incorporates technological improvements pioneered by previous unmanned aerial vehicles.⁵⁵ It is powered by a 85 horsepower, 4-stroke, fuel injected reciprocating Rotax engine with a variable pitch propeller. Unlike most unmanned aerial vehicles, the *Predator* is not restricted to direct line-of-sight data transmission. The flight control system consists of a UHF uplink receiver connected to a Global Positioning System (GPS) and Inertial Navigation System (INS). This system is relayed through a Ku-band, 1.5 Mbps Satellite Communications systems (SATCOM). It uses a line-of-sight datalink for take-off and landing. The aircraft operating range is greater than 500 nautical miles (over 930 kilometers) because the SATCOM allows the aircraft to fly either through direct control or autonomously.

The *Predator* wing span is over 48 feet and the fuselage is over 26 feet in length. Its maximum take-off weight is 1,873 pounds. This includes 650 pounds of fuel and a 450

⁵⁴Gerald Green, "Washington Perspective," *Unmanned Systems*, Vol. 12, No 3, Summer 1994, p. 42.

⁵⁵Data pertaining to the "MAE UAV" was provided by the Department of Defense, Program Executive Office, Cruise Missile Project and Unmanned Aerial Vehicle Joint Project, and the Defense Airborne Reconnaissance Office (DARO). All information is unclassified. Conclusions and inferences made are my own and do not reflect the opinion or policy of either of these organizations.

pound payload. It has a maximum altitude of 25,000 feet, can stay airborne over 24 hours, and flies at speeds of 70-130 nautical miles per hour. It can be transported in one C-141 cargo aircraft or multiple C-130 aircraft, and can be made operational within six hours of arrival, assuming it has a runway for take-off.

Projected payloads include the Versatron Corporation “Skyball” multi-payload electro-optical sensor. This surveillance system has a platinum silicide staring array infrared imager with six field of view optics. This provides “TV-like” images in visibility conditions ranging from full daylight to total darkness. It also has a high resolution color CCD daylight television camera with a ten power zoom capability, a “spotter scope,” and an eye-safe laser range finder.⁵⁶ Other sensors include additional optics capabilities and a synthetic aperture radar (SAR) capable of one foot resolution at 15,000 feet. The sensors used on the Predator produce releasable, unclassified products and does not compromise sensitive technology if lost over enemy territory.⁵⁷

Current plans call for 10 aircraft and three ground stations. All ten aircraft will be delivered with an electro-optical/infrared payload and a Magnavox UHF Satellite Datalink. Modifications will be made, after delivery, to install Westinghouse Synthetic Aperture Radar and Unisys Ku Band Satellite Data Links. At \$3-\$5 million per aircraft, the Department of Defense hopes to field a system of unmanned aerial vehicles that can provide “eyes on target” for the JTF Commander 24 hours a day, regardless of the weather.

⁵⁶“Skyball, the Eyes for Predator,” *Unmanned Systems*, Vol. 12, No 4, Fall 1994, pp. 30-31.

⁵⁷Allan Rutherford, Captain, USN, “Medium Altitude Endurance,” *Unmanned Systems*, Vol. 12, No 2, Spring 1994, pp. 18-19.

High Altitude Endurance (HAE) UAV Tier II Plus

The program goal of the High Altitude Endurance UAV is to develop and demonstrate a long dwell UAV system capable of affordable, continuous, all weather, wide area surveillance in support of military operations.⁵⁸ Two complementary UAV systems are being developed under this program; a Low Observable HAE (Tier III Minus) and a conventional design HAE (Tier II Plus). The object is to get a “satellite like” surveillance and reconnaissance capability in the hands of the theater Commander so direct operational control and tasking can be made by the warfighters.

The Tier II Plus air vehicle should be capable of sustained high altitude surveillance and reconnaissance. It will operate at ranges of up to 3,000 nautical miles from its launch area. Once launched, it should have the capability to loiter over the target area for 24 hours at an altitude greater than 60,000 feet.⁵⁹

The Tier II Plus system is composed of three segments: air, ground, and support. The air vehicle segment consists of air vehicles, sensor payloads, avionics, and line-of-sight and satellite communications datalinks. The ground segment consists of a launch and recovery element, a mission control element, and a ground communications element. There is also a support segment, and the operating personnel. All of these segments are the same for both the Tier II Plus and Tier III Minus systems.⁶⁰

⁵⁸John Entzminger, Director, Advanced Research Projects Agency, Unmanned Aerial Vehicles Joint Project Office, Association for Unmanned Vehicle Systems Winter Roundtable, 24 January, 1995, briefing.

⁵⁹Advanced Research Project Agency, “High Altitude Endurance (HAE) Unmanned Aerial Vehicle (UAV) Concept of Operations,” Draft, Version 2.1, 10 February 1995, pp. 1-1–1-6.

⁶⁰Ibid., p. 2-5.

The Tier II Plus will carry electro-optical, infrared, and synthetic aperture radar sensors which will include a Ground Moving Target Indicator (GMTI). This UAV is linked to the ground control station and theater commander by line-of-sight or satellite relay communications. The air vehicle will be capable of fully autonomous take-off, flight, and recovery. There is no need for a person to remotely fly the aircraft; however, it is capable of in flight route and mission tasking changes, allowing it to be dynamically retasked at any time by the mission control element. If the uplink control communications is lost at any time, the aircraft is programmed to automatically return to the base from which it was launched.⁶¹

This program is subject to numerous changes. One of the key factors of the program is its cost. The Defense Airborne Reconnaissance Office Investment Strategy mandates that the program must obtain the maximum capability possible for a set, non-waiverable Unit Flyaway Price of \$10 million per aircraft.⁶² This price includes the airframe, avionics, payload, and airborne data link elements. The ground segment components, personnel, training, maintenance and logistics costs are not included in the \$10 million limitation. Therefore, this program will change as it becomes constrained by fiscal limitations.

Low Observable High Altitude Endurance UAV Tier III Minus

The Tier III Minus is a complementary high altitude endurance unmanned aerial vehicle with low observable technology features. The exact capabilities are still classified,

⁶¹Ibid., p. 2-5.

⁶²Ibid., p. 1-1.

but this vehicle will be capable of sustained high altitude surveillance and reconnaissance over and into high threat areas. It will operate at ranges in excess of 500 nautical miles from the launch area and be able to loiter over the target area for more than 8 hours at an altitude in excess of 45,000 feet. This UAV will carry either electro-optical or synthetic aperture radar sensors. This aircraft will employ both wideband line-of-sight and moderate bandwidth satellite communications.⁶³

The following table summarizes the three “Tier” programs:

Table 3-1
Endurance UAV Capabilities/Relationships

| Capability | MAE UAV (Tier II) | HAE UAV (Tier II Plus) | LO HAE UAV (Tier III Minus) |
|---------------------|---------------------------------------|-----------------------------------|--|
| Status | On Contract | Phase I On Contract | On Contract |
| Endurance | > 30 Hours | > 40 Hours | > 8 Hours |
| Altitude | 25,000 feet | 65,000 feet | > 40,000 feet |
| Airspeed | 125 Kts | 350 Kts | > 250 Kts |
| Payload | 450 pounds | 1,500 pounds | > 500 pounds |
| Sensors | SAR and EO/IR (limited capability) | SAR and EO/IR | Either SAR or EO |
| Data Link | CDL COMSAT 1.5 Mbits/sec | CDL COMSAT 10-50 Mbits/sec | CDL COMSAT 1.5 Mbits/sec |
| Reduced Observables | No | No | Yes |

Source: Defense Airborne Reconnaissance Office briefing presented to the Association for Unmanned Vehicle Systems, dated 24 January, 1995.

⁶³Ibid., pp. 1-1–2-6.

Emerging and Enabling Technologies

There are several types of UAVs that are still in prototype stages. Due to the scope and size of this paper, these will only be briefly mentioned. Since most are still experimental and not operationally available, size, shape, and payloads may change over time. The significance of these prototype systems is not the product itself, but the emerging UAV technologies that they demonstrate.

The Tilt Wing/Rotor UAV System (TRUS) is being developed to offer a combination of rotary and fixed wing technologies. It provides a vertical take-off and landing capability, as well as the ability to hover. It provides a mix of speeds that are slower than fixed wing aircraft and has cruise and dash speeds which exceed conventional rotary wing aircraft.

Vertical launch and recovery systems include numerous experimental combinations of lift and propulsion. Included in this group are ducted fan, jet lift, vertical altitude, stopped rotor, conventional helicopter, as well as tilt rotor aircraft. The requirements for this program include the ability for unassisted vertical take-off and landings. They must also be capable of maintaining controlled hover for a minimum of three minutes in a zero knot wind condition. The program hopes to achieve a 200 pound payload, 5 hours endurance, a 10,000 feet service ceiling, and speeds of at least 150 nautical miles per hour.

The Bell *Eagle Eye* is a combination Tilt Wing/Rotor Vertical Take-Off and Landing UAV. It is powered by an Allison 250-C20B heavy fuel engine capable of speeds from 0 to 220 knots. It has a service ceiling of 20,000 feet and can fly for over 2 hours. This aircraft will be equipped with a variety of multi-mission payloads including TV,

FLIR, Radar, Electronic Countermeasures, Data Relay, and a Laser Designator. This UAV is scheduled to become operational in the late 1990's.⁶⁴

The United States Navy wants a small maritized vertical take-off and landing UAV for use on board small naval combatant ships. Known as MAVUS, this technology has been used to demonstrate automated launch and recovery techniques on board ships at sea. Naval officials hope the MAVUS will eventually provide covert high resolution coastal surveillance in support of amphibious operations. The Navy wants a system that will also provide visual identification of ships without exposing or risking friendly surface ships and helicopters. These aircraft will eventually provide over-the-horizon surveillance and target classification, allowing the naval commander to position forces and target the enemy without risking manned assets.

Many aspects of UAV development depends on surpassing limitations caused by inadequate equipment and technologies. Some of the primary areas needing further development include propulsion systems, vehicle control and management, airframe development and construction, data link vulnerabilities, communications, mission sensor payloads, mobility and transportability, and aircraft survivability systems.

The most critical aspect of producing effective and dependable UAVs is engineering flight control redundancies that allow the aircraft to operate autonomously and return to its original base if the data link control signal is severed or jammed. Most aircraft are using a common datalink used for transferring signals and imagery intelligence.⁶⁵

⁶⁴Chuck H. Jacobus, Bell Helicopter Textron, Fort Worth, Texas.

⁶⁵UAV 1994 Master Plan, p. 5-7.

The Tier II Plus and Tier III Minus vehicle command, control, and communications area implemented using either Intelsat satellites or one or more of the space-based, cellular satellite systems is expected to be operational by 1998. Program managers hope diversity and the hesitancy to jam multinational, commercial communications provides adequate anti-jam capabilities.⁶⁶

Due to the current state of technologies there is no way to avoid enemy intercept of global communications. Although the enemy can't be stopped from intercepting transmissions, exploitation is denied using encryption. UAV to UAV relay is also a possibility for extending line-of-sight operations, but this increases risk and costs because you have to depend on getting more than one UAV airborne and operating at all times. If the developers of the various systems decide to "harden" the data links, the much more expensive, but jam resistant solution, is the Milstar II satellites.⁶⁷

This state-of-the-art UAV overview was designed to give the reader the background from which to fairly evaluate UAVs as one of several options available to satisfy SOF capability deficiencies highlighted in Chapter 2. A comparative analysis of UAVs and alternatives is presented in the next chapter.

⁶⁶Loral/Boeing, High Altitude Long Endurance Unmanned Aerial Vehicle Systems, "Alternative Operational Concepts and Mission Payloads, Discussion Outline," pp. 4-5.

⁶⁷Ibid., p. 5.

Chapter 4: Analysis and Conclusion

There are no whole truths; all truths are half-truths. It is trying to treat them as whole truths that plays the devil.

—Alfred North Whitehead

Analysis

The “truth” of the matter is that in place of the “Cold War” framework, there are now new dangers which fall into four broad categories: (1) Dangers posed by nuclear weapons and other weapons of mass destruction. This area includes the dangers associated with the proliferation of nuclear, biological, and chemical weapons. (2) Regional dangers, posed by major regional powers seeking hegemony that is counter to U.S. interests. (3) Dangers to democracy and reform in the former Soviet Union, Eastern Europe, and elsewhere. (4) Economic dangers to the United States by competitive world traders fighting for market share in areas normally dominated by the U.S. economy.⁶⁸

In light of the “Cold War” changes and emerging new dangers, Special Operations Forces provide combatant commanders unique capabilities to fight enemies of the United States of America. On the very first mission of DESERT STORM, Special Operations Forces employing sophisticated navigation equipment, specialized flying techniques, and their own “stealth” capability raided Iraqi early warning and ground control intercept sites.

⁶⁸U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Aviation Foreign Internal Defense,” 1 December 1993, p. 5.

This freed the U.S. Air Force F-117s, originally slated to target Iraqi early warning radars, to strike higher priority targets in Baghdad.⁶⁹

The key to effective Special Operations is getting the right people, to the right place, performing the task, and returning safely without being detected or harmed. In order to accomplish these tasks, they need equipment that is sufficiently versatile and reliable.

To ensure the right equipment for the job is acquired, USSOCOM has identified deficiencies, and examined non-material solutions. For those cases where changes in doctrine, tactics, or training fail to resolve the deficiency, then the research and development community is called upon for assistance.⁷⁰

The United States Special Operations Command and the Special Operations Component Commanders have enumerated their capability deficiencies and the 11 technology development objectives—listed in priority order in the previous chapter—which show several areas where they have deficiencies in warfighting capability. They have numerous requirements for improved equipment and mission enhancement. Some of these areas include Command, Control, Communications and Intelligence (C3I), navigation subsystems, aircrew-vehicle interface subsystems, defensive subsystems, sensors/fire control subsystems, armament subsystems, air vehicle subsystems, power generation subsystems, logistics support systems, intelligence support systems, mission

⁶⁹U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Joint Air-SOF Battlefield Interface,” 1 December 1993, p. 27.

⁷⁰ “Air Force Modernization Plan, Mission Area Plan: Joint Air-SOF Battlefield Interface,” 1 December 1993, p. 1-3.

planning/rehearsal support systems, training support systems, and personnel/life support systems.⁷¹ Of the eleven listed Technology Development Objectives, unmanned aerial vehicle technologies may have a positive affect on eight of the areas.

Due to the scope of this research, the focus of this comparative analysis only includes three capability deficiencies and the corresponding Technology Development Objectives (TDOs) that may warrant a UAV material solution. Problems like upgrading the weapons on AC-130 “Gunship” aircraft, improved avionics for MH-53J “Pave Low” helicopters, and improved weapons for Direct Action Teams have no applicability to unmanned aircraft. Because of this, only SOF deficiencies and TDOs which might have a UAV solution are examined in this chapter.

Timely Intelligence Deficiency

The most prevalent capability deficiency in the Special Operations community is a lack of timely intelligence. Effective intelligence must assist commanders in identifying Special Operations objectives that support the overall theater objectives. All aspects of military operations are dependent on the determination of relevant, clear, and attainable objectives. Intelligence should provide the commander with an understanding of the enemy in terms of their goals, objectives, strengths, weaknesses, values, and critical vulnerabilities.⁷²

⁷¹Compiled from numerous “Mission Area Plans,” “Mission Need Statements,” “Operational Requirements Documents,” and USSOCOM memorandums and documents.

⁷²U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Joint Air-SOF Battlefield Interface,” 1 December 1993, pp. 30-31.

A great deal of information is available to commanders through Service and national intelligence organizations. Special Operations usually need **target specific intelligence that requires more research, analysis, graphics, photos, and textual elaboration.**⁷³

Added to the complexity and demand for information is that Special Operations tasking often occurs **very fast**. Urgent, short-notice missions are not unusual. Therefore the intelligence system “feeding” the Commander and planners must be flexible enough to satisfy both time sensitive and deliberate mission planning processes. Additionally, intelligence requirements and **Operational Security (OPSEC)** should be considered carefully to ensure that adequate information can be gathered without compromising the mission or the location of the participants.

Given these criteria for detailed, target specific, fast, and secure intelligence, USSOCOM has listed intelligence as a deficiency because they can not receive “real/near real time imagery from national systems,” and “no real time interface between aircraft and teams to Intel (sic) systems.” They have also determined that there is “no automatic enroute threat replan” capability, and “no all source threat location data.” There is also “no real time imagery for target study.”⁷⁴ Every aspect of Special Operations is affected, good or bad, based on how fast and accurately it receives intelligence information.

⁷³“Air Force Modernization Plan, Mission Area Plan: Joint Air-SOF Battlefield Interface,” 1 December 1993, p. 31.

⁷⁴U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Joint Air-SOF Battlefield Interface,” 1 December 1993, p. 36, and U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Force Application,” 1 December 1993, p. 34.

Three of the eleven USSOCOM “Technology Development Objectives,” ask for technologies that would assist the intelligence community. Finding technologies for detecting and classifying weapons of mass destruction, detecting passive shallow water and terrestrial mines, explosives, and booby-traps are high on the priority list. The Special Operations people also want to find technologies that locate, track, and mark targets, as well as advanced vision devices and sensors.⁷⁵

According to various USSOCOM documents, their solution to the problem is, “field deployable imagery systems for Joint Force Commanders and his (sic) components.” The “Joint Air-SOF Battlefield Interface,” and “Force Application” Deficiency/Solution Matrices recommend Special Operations Forces field the Multi-mission Advanced Tactical Terminal (MATT)⁷⁶ and the QUIET KNIGHT technologies.⁷⁷ Both programs are specifically designed to provide enhanced situational awareness to Special Operators by exploiting enemy communications and by manipulating tactical and national intelligence data. Through these programs mission planners gain access to real time imagery, an aircraft interface capability, and an enroute threat replan capability.⁷⁸ The “Force

⁷⁵USSOCOM Memorandum, 3 November 1994, “Subject: USSOCOM’s Technology Development Objectives (TDOs),” signed by General Wayne A. Downing, USA.

⁷⁶ MATT is a miniaturized airborne qualified UHF receiver providing near real-time, over the horizon threat data directly to the warfighter at the secret level. This terminal can simultaneously receive and process intelligence reports from the Tactical Receive Applications (TRAP), Tactical Data Exchange System Broadcast (TADIX-B), and Tactical Information Broadcast Service (TIBS). Information provided by AlliedSignal Inc., Baltimore, MD.

⁷⁷Information provided by AlliedSignal Inc., Baltimore, MD.

⁷⁸U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Force Application,” 1 December 1993, p. 37.

Application” Matrix also recommends fielding a capability to get all-source data, and real-time imagery hardware and software.⁷⁹ What does all this mean?

Essentially, no one on the ground or in the air is getting real/near real time visual information. As a hypothetical example, a Special Reconnaissance Team is observing a nuclear weapons production facility in a country hostile to the United States. The team is concealed and has been observing the facility 24 hours a day for the last three days. Their only contact with their military leaders is through a secure, UHF Satellite Communications radio. They have no way of passing visual information. They can speak into the radio and describe what they see. Each time they “key” the microphone on the radio to transmit a message, they endanger themselves by electronically giving away their position⁸⁰ or by being overheard by someone nearby. These people provide the “eyes and ears” to the commander, but are limited in how they can communicate what they observe.

On the positive side, they can remain in place as long as necessary and provide 24 hour observation regardless of weather conditions. Their stay is only limited by food, water, and being discovered. On the negative side, they have limited means for communicating what they see and hear to their command authorities. Without some means of re-supply, they are unable to observe the area beyond 72 hours. After that length of time, they are low on food, water, and batteries for their equipment. The longer they stay, the greater the risk of being discovered.

⁷⁹“Air Force Modernization Plan, Mission Area Plan: Force Application,” 1 December 1993, p. 34.

⁸⁰Most countries with modern weapons, have direction finding equipment that locates unauthorized radio transmissions. The Iraqis located some of our downed pilots in this way.

Another situation where real-time information is crucial is during Direct Action operations. If hostages are being rescued or a sensitive target is being “taken down,” the Commander and men about to attack want the most current on-scene information available. If the enemy situation changes and the attacking forces are unaware of new developments, careful planning and rehearsal can quickly be overcome by events that were not planned for. The previously mentioned Son Tay raid is only one example of “perishable” intelligence. A simple thing like changing guards at a different time, or the arrival of fresh troops or hostages, can all add “fog and friction” to an environment full of uncertainty.

Could an unmanned aerial vehicle be useful in providing necessary intelligence for a situation like this? Currently, no. Sensitive surveillance, as described in the previous example, needs to be unobserved and unheard. The Tactical UAVs discussed in Chapter 3 have numerous limitations that preclude them from current consideration. Range, payload size, and endurance lead the list of limitations. The hypothetical Special Reconnaissance team is deep in hostile territory. The closest “friendly” nation that would allow the United States to operate from its territory is in all probability, hundreds of miles away. Naval based assets are also limited by distance. Therefore, none of the Tactical UAVs are useful for this type of mission.

If, on the other hand, certain innovations could be achieved, then UAVs might support, reduce, or even eliminate the need for the Special Reconnaissance team. If the *Exdrone* or the *Pointer* could be more logistically supportable—small enough that the team could parachute into hostile territory and carry everything they need to launch and recover a few UAVs—then its use might be practical. The team could conceal itself

farther from the facility they are observing and have less chance of being discovered. They could then launch a UAV which loiters over the facility at an altitude high enough to remain unseen and unheard. Ideally, such a UAV would also be capable of sending real time video imagery to the Special Reconnaissance team and to a satellite for relay to higher echelons. Currently, this suggested scenario is science fiction. None of the UAVs are small enough, flexible enough, or capable enough to assist the manned teams on the ground.⁸¹

A potential solution available within the next five years is the Tier II Plus Medium Altitude Endurance (MAE) UAV. This UAV has characteristics that might replace the need for risking a team on the ground. When the Tier II Plus is fully operational it can orbit at 20-25,000 feet for 24 hours at a time, and send, via satellite communications, high resolution real/near real time electro-optical, infrared, and synthetic aperture radar imagery to a ground control station near the Joint Force Commander's headquarters.

If the scientists and engineers developing this system can make it dependable enough, then UAVs may in some circumstances replace teams on the ground. There would need to be a sufficient number of air vehicles available to provide continuous coverage for as long as necessary, and the sensors would have to operate in all levels of environmental and meteorological conditions. Using these assets to augment a team in the field is more likely than replacing the team. The value of the information will determine the level of effort put forth by both manned and unmanned assets.

⁸¹ After examining specifications and data on all of the UAVs currently available, none of the systems have sufficient range, endurance, or compact logistics packages capable of helping Special Forces teams. Each system needs support that is too cumbersome or limited to meet current SOF requirements.

There are numerous Special Operations scenarios where 24 hour visual surveillance of a target or potential target is extremely useful. Dependable real time imagery of suspected terrorists compounds, weapons of mass destruction facilities, and drug traffickers' movements and activities are just a few examples where real time intelligence would help Special Operations planners and warfighters accomplish their missions. But for the systems to be useful to Special Operators they must be very portable, easy to conceal, and require very little logistical support. The system has to be small enough for a team to carry without overburdening them with weight. If the UAVs can't be made small enough, then aircraft that are large enough and can operate at high altitudes over long distances are the best direction to follow when looking for an unmanned aircraft that can support Special Operations needs.

Communications Deficiency

Another Special Operations Forces deficiency is Command, Control, and Communications (C3). USSOCOM and its components have a mix of communications subsystems which are not always compatible nor interoperable. Communication systems for Special Operations must be Jointly interoperable, reliable, secure, redundant, lightweight, flexible, highly mobile and should provide low probability of intercept/detection (LPI/D). They must be capable of furnishing weather and intelligence information (data, imagery, and/or narrative) to all levels of the Command. These systems

must be time-sensitive for Direct Action, Counterterrorism, and Special Reconnaissance missions where direct contact with the highest tasking authority is required.⁸²

Special Operations Forces often use space-based systems for both tactical and long range communications. Because these forces operate at all levels and spectrums of conflict, they must be able to communicate with a very diverse group of communication systems. The SOF communications criteria boil down to **secure, reliable, inter-Service and intra-Service communications connectivity capabilities, down to the team, squad, and aircrew levels.**⁸³

Encompassed within the communications deficiency, USSOCOM has listed several deficiencies in both the “Joint Air-SOF Battlefield Interface,” and the “Force Application,” and “PSYOP” Deficiency/Solution matrices. The deficiencies include: “Limited accessibility, coverage, bandwidth, size, and weight.” A “lack of standardized equipment and procedures.” As well as “potential for enemy to monitor or destroy our information systems.” There is also a concern for “inconsistent theater Command and Control for Special Operations Forces.” Some of the other deficiencies include “limited SATCOM capability,” and that the “SATCOM is jammable and spoofable.” They are also disturbed by the fact that “no aircraft are low probability of intercept or low probability of detection

⁸²U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Joint Air-SOF Battlefield Interface,” 1 December 1993, p. 32, and U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Force Application,” 1 December 1993, p. 47.

⁸³U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Joint Air-SOF Battlefield Interface,” 1 December 1993, p. 32-33.

capable.”⁸⁴ The “USSOCOM Technology Development Objectives,” has “improved communications systems,” listed as their fifth priority out of eleven technology areas needing improvement.⁸⁵

To solve this deficiency, the Special Operations community is trying to acquire more satellites, better radios, procure common systems, develop counter-countermeasures, and field low probability of intercept/low probability of detection radios.⁸⁶ This will be extremely costly and take several years to implement, and given that these systems only address some, and not all of the SOF communications criteria. This modernization program will soak up a lot of resources that might be used for other pressing requirements.

One solution Special Operations has not considered extensively is funding unmanned aerial vehicles as communications platforms and relays. As described by Doctors Will and Pelton in their article on high altitude long endurance UAVs, a UAV at

⁸⁴U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Joint Air-SOF Battlefield Interface,” 1 December 1993, p. 36, U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Force Application,” 1 December 1993, p. 34, and U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Psychological Operations (PSYOP),” 1 December 1993, p. 28.

⁸⁵USSOCOM Memorandum, 3 November 1994, “Subject: USSOCOM’s Technology Development Objectives (TDOs),” signed by General Wayne A. Downing, USA.

⁸⁶U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Joint Air-SOF Battlefield Interface,” 1 December 1993, p. 36, U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Force Application,” 1 December 1993, p. 34, and U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Psychological Operations (PSYOP),” 1 December 1993, p. 28.

13 miles in altitude can be used as a “poor man’s satellite.”⁸⁷ Geostationary satellites require funding of up to \$250 million, a “13-mile” UAV could cost as little as \$10 million for an entire system’s operation and spare platforms.⁸⁸ At a regional level, these UAVs could offer the same capabilities as satellites at a greatly reduced cost.

High Altitude, Long Endurance (HALE) unmanned aerial vehicles are being developed to meet this need. Because of the spread of cellular telephones many developing nations are looking at satellites and UAVs for communications instead of laying miles and miles of telephone wire. A UAV at 13 miles altitude can receive radio communications from earth and redirect them within a 300-mile diameter. This actually services an area with a diameter of 181,000 square kilometers. Thus, it acts like a low-cost, low orbit geosynchronous satellite.⁸⁹

The Psychological Operations community have considered such a relay platform. The EC-130 VOLANT SOLO and COMMANDO SOLO aircraft perform the task of airborne broadcast services. These aircraft receive, analyze, and transmit various electronic signals in order to exploit the electromagnetic spectrum for Psychological Operations. They can broadcast in AM and FM radio, short-wave, television, and military command, control, and communications frequencies and channels.⁹⁰

⁸⁷ Thomas E. Will, Ph.D., and Joseph N. Pelton, Ph.D., “Hail HALE, the Answers May All Be Here,” *Unmanned Systems*, Vol. 13, No. 1, Winter 1995, pp. 31-34.

⁸⁸ Thomas E. Will, Ph.D., and Joseph N. Pelton, Ph.D., “Hail HALE, the Answers May All Be Here,” *Unmanned Systems*, Vol. 13, No. 1, Winter 1995, p. 31.

⁸⁹ Thomas E. Will, Ph.D., and Joseph N. Pelton, Ph.D., “Hail HALE, the Answers May All Be Here,” *Unmanned Systems*, Vol. 13, No. 1, Winter 1995, p. 33-34.

⁹⁰ U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Psychological Operations (PSYOP),” 1 December 1993, pp. 20-21.

But, both of these airborne platforms are limited by range and power. This, coupled with the saturation of satellite communications systems may open a window of opportunity for UAVs.⁹¹ According to Headquarters Air Force Special Operations Command, “A space-based system or remotely piloted vehicle (UAV) which could relay or reflect transmissions from ground sources would also be much cheaper and would involve less risk than an aircraft capability. A space-based (or UAV) system could also be employed easier and perhaps faster than the requisite number of aircraft. A direct transmission capability would save the time and effort of getting recorded material to the aircraft, would permit PSYOP Commanders in theater to be much more current in their propaganda, and could provide more responsive coverage.”⁹²

In addition, the Air Force Special Tactics Teams need an enhanced capability to conduct local weather observations and the ability to access the global weather network via satellite (or other communications) and computer modem. They need to do this from a deployed location to update the theater weather forecast.⁹³ A communications UAV tied into the worldwide weather service network could provide information feeds to numerous squads and missions, simultaneously, without increasing cost or complexity to the overall communications architecture.

Is there a High Altitude Long Endurance UAV currently available that meets the requirements? No. Again, this concept is still on the drawing board, awaiting

⁹¹“Air Force Modernization Plan, Mission Area Plan: Psychological Operations (PSYOP),” 1 December 1993, p. 29.

⁹²“Air Force Modernization Plan, Mission Area Plan: Psychological Operations (PSYOP),” 1 December 1993, p. 42.

technologies and funding to catch up with what is needed in the field. There are plans for this type of UAV, but no one knows when it will become operable and fully mission capable.⁹⁴

Resupply Deficiency

Another stated need is a means to carry a payload large enough to drop printed products (leaflets) or resupply Special Operations teams on the ground or at sea. USSOCOM has a validated “Mission Need Statement for Psychological Operations Unmanned Aerial Vehicle Payloads (UAV-P),” which asks for the capability to deliver a minimum of 200 pounds of leaflets with a point accuracy of 200 meters.⁹⁵ This is considered a critical need because there are no other assets in the U.S. inventory that can deliver leaflets over a high risk area without endangering aircrews.

The Navy SEALs, Army Special Forces, and Air Force Special Tactics Teams also have a requirement for being resupplied. Expendable supplies like batteries, food, water, medical materials and ammunition need to be replenished when forces are in the field. Rapid employments to forward areas are conducted using battery powered equipment for communications, navigation, and other tasks. These highly trained teams normally deploy with a 72 hour supply of equipment. If the teams have to stay deployed for extended periods of time they need to be resupplied.

⁹³U.S. Air Force, Headquarters, Air Force Special Operations Command/XPP, “Air Force Modernization Plan, Mission Area Plan: Force Application,” 1 December 1993, p. 51.

⁹⁴DoD “Unmanned Aerial Vehicles 1994 Master Plan,” 31 May 1994.

⁹⁵USSOCOM, “Mission Need Statement (MNS) for Psychological Operations Unmanned Aerial Vehicle Payloads (UAV-P), USASOC 92-134, 31 October 1994.

Currently, the only means available for resupplying these teams in the field is by helicopter, or airdrop. Both of these delivery systems **expose the aircrews** and teams on the ground. An unmanned aerial system capable of arriving undetected during hours of darkness would greatly enhance the survivability of the forces on the ground and extend the length of their operations.

There exists a need for re-supplying or delivering supplies/leaflets accurately without unduly endangering aircrews or the teams on the ground. Is there a UAV that can deploy 200 or more pounds of payload? No. None of the previously mentioned UAVs are capable of supporting this requirement. The Tier II Plus is currently the best candidate for meeting the re-supply/leaflet drop capability deficiency. Again, this system is in development with no definite date for actual deployment.

These are but a few of the many Special Operations deficiencies that unmanned aerial vehicles might someday resolve. However, until the time comes when these systems are fielded and proven reliable, the Special Operators will have to continue using skill and ingenuity to reduce the risk they face accomplishing their mission.

Conclusion

Special Operations Forces need the capabilities that unmanned aerial vehicles offer. The payloads that can be uploaded and sent aloft can dramatically improve Special Operation's ability to perform many missions and tasks. Long loiter times and high resolution sensors providing surveillance and reconnaissance can greatly reduce the number of missions where people on the ground are exposed to danger. High Altitude Long Endurance UAVs can improve command, control and communications deficiencies

by acting as “13 mile” high relay platforms that replace more expensive satellites. UAVs with cargo carrying capacity can deliver food, water, or leaflets to areas of the world which were previously inaccessible or too dangerous to fly over.

As previously stated, there is a significant intelligence deficiency that can be solved by real time video furnished around-the-clock by unmanned aerial vehicles. Special Operations Forces need target specific intelligence that requires more research, analysis, graphics, photos, and textual elaboration. It must be fast, and the information source cannot disclose the ground team’s location. The UAV “concept” can provide these capabilities to the warfighter.

Another deficiency UAVs can solve is the current inadequate communications capability hindering Special Operations. As previously presented, SOF needs a secure, reliable, inter-Service and intra-Service communications connectivity capability that reaches down to squad and aircrew levels. High altitude relay unmanned aerial vehicles provide a cost effective means for extending the range and capability of current and future communication systems. The ability to rapidly change components of the UAV payload to adjust to the dynamic communications environment allows Special Operators to install and update low probability of intercept and low probability of detection technologies at much lower costs than switching out the “black boxes” on a satellite. UAVs can greatly extend the communications capability of Special Operations teams working the land and sea environments by providing secure, low-cost systems that directly support the warfighter.

The final capability deficiency, the “resupply” dilemma may, in some ways, be solved or assisted by unmanned aerial vehicle technologies. The Psychological Operations community has already determined the need for an unmanned system to deliver

information over areas too dangerous to fly a manned platform. They have seen the wisdom of using technology to “go where no man wants to go.” Dropping information leaflets over hostile territory without endangering U.S. personnel seems like an extremely reasonable approach. If 200 pounds of leaflets can be accurately dropped, then 200 pounds of food, water, and expendable supplies can also be precisely dropped to Special Operations teams in the field. Resupplying teams in hostile or isolated regions of the globe without endangering aircrews is a worthwhile pursuit. Therefore, continued efforts toward developing reliable UAVs are important to the Special Operations Community.

The concept of unmanned aerial vehicles seem to answer many of the Special Operations capability deficiencies. However, none of the current configurations come close to meeting SOF’s unique needs. They have the potential for performing a multitude of surveillance and reconnaissance missions, for acting as communications relay platforms, and for delivering payloads large enough to resupply forces or drop leaflets. However, currently there are no UAVs in production that can reliably correct any of the SOF deficiencies to the degree of reliability needed. Each system, although striving to improve, fails to deliver sufficient endurance, reliability, maintainability, and sensor connectivity to help Special Operators see, hear, and resupply their battlefield better.

The Department of Defense and civilian contractors are trying to field systems that work for the warfighters. But until the technology and integration of systems is mature and dependable, the concept of UAVs complementing SOF capability will be “Later” instead of “Sooner.”

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